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Attorney Docket: SURR.86

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: NATAN

SERIAL NO.: 10/056,808

FILED: JANUARY 25, 2002

TITLE: SURFACE-ENHANCED SPECTROSCOPY-  
ACTIVE SANDWICH NANOPARTICLES



EXAMINER:

ART UNIT: 1743

CONFIRMATION NO.: 9379

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TC 1743 MAIL ROOM

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Applicant calls the Examiner's attention to the patents and publications listed on the attached Form PTO-1449, copies enclosed, which may be material to examination of the above identified application.

TIME OF TRANSMITTAL

This Information Disclosure Statement is being filed under 37 CFR § 1.97(b). This Statement is filed within at least one of the following time periods:

- (a) within three months of the filing date of a national application (other than a CPA) under 37 CFR § 1.53(d));
- (b) within three months of the date of entry of the national stage as set forth in 37 CFR § 1.491 in an international application;
- (c) before the mailing of a first Office Action of the merits; or
- (d) before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR § 1.114.

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No fee is believed to be due in this instance. However, the undersigned hereby authorizes the charging of any fees created by the filing of this document to Deposit Account No. 19-5117.

37 CFR 1.8

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:  
Assistant Commissioner for Patents, Washington, D.C. 20231 on 6/17/02

Signature:

Name: Tasha L. Cove

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The filing of this Information Disclosure Statement shall not be construed as an admission against interest in any manner. This listed patents and publications are believed of interest herein and consideration and citation of as interest by Examiner is respectfully requested.

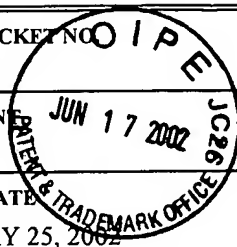
Respectfully submitted,



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Highlands Ranch, Colorado 80129  
(303) 268-0066

cc: William Leschensky  
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<b>FORM PTO-1449</b> <b>U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE</b>  List of Information Cited by Applicant  Page 1 of 1	<b>ATTY. DOCKET NO.</b> SURR.86	<b>SERIAL NO.</b> 10/056,808
	<b>APPLICANT</b> NATAN	<b>GROUP</b> 1743
	<b>FILING DATE</b> JANUARY 25, 2002	



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U.S. PATENT DOCUMENTS							
EXAM. INITIAL		DOCUMENT NUMBER	DATE	NAME	CLS	SUB- CLS	FILE DATE
	AA	6,219,137	04/2001	Vo-Dinh			
	AB						
	AC						
	AD						
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	AF						
	AG						
	AH						
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FOREIGN PATENT DOCUMENTS							
EXAM. INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLS	SUB CLS	TRANS ?
	AJ						
	AK						

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		
	AL	Shibata et al. (1998) J. Sol-Gel. Technol. 11:279-287
	AM	Felidj et al. (1998) New J. Chem. 725-732
	AN	Freeman et al. (1996) 100:718-724
	AO	
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<b>EXAMINER</b> _____ <b>DATE CONSIDERED</b> _____		
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.		